

# The impact of long-term non-pharmaceutical interventions on COVID-19 epidemic dynamics and control

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May 3, 2020

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## Abstract

Non-pharmaceutical interventions to combat COVID-19 transmission have worked to slow the spread of the epidemic but can have high socio-economic costs. It is critical we understand the efficacy of non-pharmaceutical interventions to choose a safe exit strategy. Many current models are not suitable for assessing exit strategies because they do not account for epidemic resurgence when social distancing ends prematurely (e.g., statistical curve fits) nor permit scenario exploration in specific locations.

We developed an SEIR-type mechanistic epidemiological model of COVID-19 dynamics to explore temporally variable non-pharmaceutical interventions. We provide an interactive tool and code to estimate the transmission parameter,  $\beta$ , and the effective reproduction number,  $\mathcal{R}_E$ . We fit the model to Santa Clara County, California, where an early epidemic start date and early shelter-in-place orders could provide a model for other regions.

As of April 22, 2020, we estimate an  $\mathcal{R}_E$  of 0.982 (95% CI: 0.849 - 1.107) in Santa Clara County. After June 1 (the end-date for Santa Clara County shelter-in-place as of April 27), we estimate a shift to partial social distancing, combined with rigorous testing and isolation of symptomatic individuals, is a viable alternative to indefinitely maintaining shelter-in-place. We also estimate that if Santa Clara County had waited one week longer before issuing shelter-in-place orders, 95 additional people would have died by April 22 (95% CI: 7 - 283).

Given early life-saving shelter-in-place orders in Santa Clara County, longer-term moderate social distancing and testing and isolation of symptomatic individuals have the potential to contain the size and toll of the COVID-19 pandemic in Santa Clara County, and may be effective in other locations.

# 1 Introduction

2 COVID-19 is rapidly expanding across the globe and has the potential to overwhelm healthcare systems,  
3 killing hundreds of thousands to millions of people worldwide in the process<sup>1</sup>. Without an effective vaccine  
4 or specific drug therapy, non-pharmaceutical interventions such as physical distancing, diagnostic and  
5 serological testing, and contact-tracing are the best available tools to slow the spread of the pandemic and to  
6 mitigate its health toll. Governments and other decision-makers have used models to predict the spread of  
7 COVID-19 and show the benefits of social distancing for “flattening the curve,” i.e., slowing the epidemic—  
8 reducing and delaying the peak—to prevent medical systems from becoming overwhelmed.

9 Many decision-makers internationally, nationally, and locally, have used models that are statistical  
10 curve-fits, such as the IHME model<sup>2</sup>, to the observed numbers of COVID-19 cases, hospitalizations, or  
11 deaths, without capturing the underlying epidemiological dynamics of transmission. While statistical mod-  
12 els can be successful at describing near-term epidemic trajectories, they may fail to capture the high degree  
13 of uncertainty in the long-term epidemic process, and therefore should not be used to project far into the  
14 future<sup>3</sup>. More worryingly, these models cannot anticipate impacts of major shifts in policy, such as ending  
15 shelter-in-place orders and reopening businesses. Thus, policy informed by statistical curve-fitting models  
16 may fail to anticipate the potential for a resurgence of COVID-19 epidemics, and therefore will not be able  
17 to adequately inform exit strategies from shelter-in-place and other social distancing interventions.

18 Epidemiological models that directly model the transmission process almost universally predict that  
19 lifting interventions too soon will result in a devastating resurgence in the epidemic<sup>1</sup>, a phenomenon sup-  
20 ported by historical evidence, including data from the 1918 flu pandemic<sup>4</sup>. Balancing the economic and  
21 social costs of shelter-in-place orders with those of resurgence events, all of which are overwhelmingly  
22 borne by the most vulnerable, make identifying safe and effective exit strategies an urgent priority. How-  
23 ever, many currently available epidemiological models are not set up for other scientists or policymakers  
24 to conveniently explore a variety of exit strategies for specific locations to which the model is also fit.

25 We developed an epidemiological compartment model of COVID-19 dynamics that uses a time-varying  
26 transmission parameter,  $\beta$ , to investigate the impact of non-pharmaceutical interventions on epidemic dy-  
27 namics and control. The model incorporates transmission from both asymptomatic and presymptomatic  
28 infectious people. By fitting the model to local epidemic dynamics (using daily reported COVID-19 deaths),  
29 we can estimate key epidemiological metrics and evaluate the effectiveness of different long-term interven-  
30 tion strategies. Specifically, we explore three classes of strategies: 1) long-term shelter-in-place orders,  
31 which we consider the most drastic approach; 2) widespread testing and isolation of symptomatic people  
32 paired with less intensive social distancing in the general population; 3) an adaptive triggering approach

33 that ramps up or turns down levels of social distancing when hospitalizations reach critical thresholds. We  
34 are particularly interested in identifying intervention strategies that do not require long-term sheltering-in-  
35 place while still maintaining epidemic control until a vaccine becomes widely available.

36 The goals of the model are to capture the transmission process accurately enough to understand qual-  
37 itative impacts of intervention strategies without requiring extensive data on contact patterns, demog-  
38 raphy, movement, and other population features. We therefore model a homogeneous population with  
39 population-average parameters that reflect the demography of the population of interest. As a case study,  
40 we focus on Santa Clara County, California, where the first COVID-19 death in the U.S. was retroactively re-  
41 ported from February 6, 2020, and where the first-in-the-nation shelter-in-place order took effect early in the  
42 epidemic, on March 17, 2020. We estimate transmission rate for Santa Clara County under pre-intervention  
43 and shelter-in-place conditions, calculate reproduction numbers before and during interventions, explore  
44 the impact of long-term intervention strategies, and investigate counterfactuals to understand the impact of  
45 early intervention decisions. This case study illustrates how the model could be tailored to other locations  
46 to understand the impact of long-term interventions in COVID-19 epidemic dynamics.

## 47 **Methods**

### 48 **Model Structure**

49 We developed a compartmental model using an SEIR (Susceptible, Exposed, Infectious, Recovered) frame-  
50 work. We divided the population into states with respect to COVID-19: susceptible (S); exposed but not yet  
51 infectious (E); infectious and presymptomatic ( $I_P$ ), asymptomatic ( $I_A$ ), mildly symptomatic ( $I_M$ ), or severely  
52 symptomatic ( $I_S$ ); hospitalized cases that will recover ( $H_R$ ) or die ( $H_D$ ); recovered and immune (R); and  
53 dead (D), as shown in Equation sets [S1 - S2](#); [Figure S1](#). Parameters are defined in [Tables 1](#) and [2](#).

54 By including asymptomatic and presymptomatic individuals, we are able to track "silent spreaders" of  
55 the disease, both of which have been shown to contribute to COVID-19 transmission<sup>5</sup>. Tracking hospital-  
56 izations and deaths allows us to compare our simulations to data sources that should be more reliable than  
57 confirmed cases, particularly in the absence of widespread rapid testing and case detection. Mildly symp-  
58 tomatic cases are defined as those people that show symptoms but do not require hospitalization, while we  
59 assume that all severely symptomatic cases will eventually require hospitalization. We also assume that no  
60 onward transmission occurs from hospitalized individuals.

61 The transmission parameter,  $\beta$ , describes the average per capita rate of contact between susceptible and  
62 infectious people multiplied by the per-contact transmission probability; we allow this parameter to vary

63 over time to represent different social distancing strategies (i.e., stronger social distancing decreases  $\beta$  by  
 64 decreasing the per capita rate of infectious contacts).

## 65 **Fitting the Model**

66 We estimated both  $\beta_0$ , which describes the initial value of  $\beta$  in the absence of any interventions, and  $\sigma$ ,  
 67 which describes the proportional reduction in  $\beta_0$  under shelter-in-place, where  $\beta = \beta_0 \cdot \sigma$ . To estimate  $\beta_0$   
 68 and  $\sigma$ , we assumed point estimates for some parameters (Table 1) and drew 200 sobol sequences across a  
 69 range of plausible values for others (Table 2) to form 200 plausible parameter sets.

Table 1: Parameter point estimates.

<i>Parameter</i>	<i>Value</i>	<i>Description</i>	<i>Citation</i>
$C_P, C_M, C_S$	1	Relative infectiousness of presymptomatic, mild symptomatic, and severe symptomatic	Assumed
$\gamma$	3.5 days	Preinfectious period	6,7
$\lambda_P$	1.5 days	Presymptomatic duration	8
$\lambda_A$	7 days	Infectious period for asymptomatic infections	9
$\lambda_S$	5.5 days	Time from symptom onset to hospitalizations (severe cases)	10,11
$\lambda_M$	5.5 days	Time from symptom onset to recovery (mild cases)	9
$\rho_R$	13.3 days	Time from hospitalization to recovery	12
$\rho_D$	15 days	Time from hospitalization to death	13
N	1.398x10 <sup>6</sup>	Population	14

Table 2: Parameter range estimates, some of which are specific to Santa Clara County, California.

Parameter	Lower Bound	Upper Bound	Description	Citation
	15-Jan	29-Jan	Start date of the epidemic	15
	01-Mar	10-Mar	Work from home start date	16
	0.7	0.9	Work from home proportion of contacts remaining	16
$E_0$	3	6	Number of initial infections that began the epidemic	15
$C_A$	0.4	0.8	Relative infectiousness of asymptomatic infections	1,17
$\alpha$	0.3	0.5	Proportion of infections that are asymptomatic	1,18,19
$\delta$	0.1	0.3	Fatality rate among hospitalizations	1,20
$1 - \mu$	0.025	0.075	Proportion of symptomatic infections that require hospitalization	1,20

70 Using the pomp package<sup>21</sup> (function `mif2`) in the R programming language<sup>22</sup>, we fit both parameters to  
 71 daily deaths for each of the 200 parameter sets using six particle filtering runs with variation in starting  
 72 values; each run used 100 iterations and 3000 particles.

73 We use COVID-19 death data from The New York Times, based on reports from state and local health  
 74 agencies<sup>23</sup>. Daily deaths are calculated from differences in cumulative death reports. Using these data,  
 75 which are available for all counties in the US, our model can be used to fit  $\beta_0$  and  $\sigma$  in any county. Location-  
 76 specific variation in these parameters results from differences in social structures, population immunity,  
 77 population density, and other factors that determine the number of potentially infectious contacts and the  
 78 per-contact transmission probability. For a given location, our model assumes that the population is homo-  
 79 geneous with a single average value for each parameter.

80 We calculated  $\mathcal{R}_0$  as estimated  $\beta_0$  times the duration of an average infection (as defined by our model  
 81 structure) for each of the 200 parameter sets (using all six estimates from the `mif2` iterations). We esti-  
 82 mated  $\mathcal{R}_E$  on April 22 using the estimated  $\beta_0$ ,  $\sigma$ , and the median proportion of the population remaining  
 83 susceptible across the 300 simulated epidemics.

## 84 **Simulating epidemics under interventions**

85 Our modeling framework allows for different types, intensities, and durations of interventions, and thereby  
 86 illustrates how these interventions impact dynamics and the resulting number of COVID-19 cases and  
 87 fatalities through time. We consider three possible interventions that can be implemented at different times  
 88 during the simulation:

- 89 1. **Social distancing for a set duration** applied as a scaling of the transmission rate for all individuals
- 90 2. **Isolation of symptomatic individuals** applied as a scaling of the transmission rate for only symp-  
91 tomatic individuals  $I_S$  and  $I_M$ ; we assume isolation paired with partially relaxed social distancing
- 92 3. **Adaptive triggering** applied as a tightening or relaxing of social distancing, triggered by hospitaliza-  
93 tions crossing a defined threshold

94 Other scenarios that can be modeled as a time-varying reduction in  $\beta_0$  can be explored using the code  
95 available on GitHub<sup>24</sup>.

96 To visualize the dynamics of a single intervention scenario, we simulate 300 epidemics from the single  
97 best fit across the 200 parameter sets as defined by negative log likelihood. To quantify the effectiveness  
98 of each intervention scenario, we estimate summary statistics from the simulated epidemics, such as total  
99 deaths, for a range of parameters for each intervention (e.g., the effectiveness of infected isolation). For each  
100 scenario, we simulate 300 epidemics across each of the 200 parameter sets, and calculate the 95% confidence  
101 interval (CI) for the summary statistic across all simulated epidemics. Here we define a 95% CI as an interval  
102 that captures the central 95% range of outcomes seen across all parameter sets and stochastic simulations.  
103 These are simultaneously wide because of large numbers of stochastic simulations, but narrow because we  
104 ignore uncertainty in all parameters listed in Table 1, and thus should be interpreted with caution.

## 105 Results

### 106 Local epidemic dynamics and control: Santa Clara County, California

107 We fit the model to Santa Clara County, California where work-from-home, social distancing, and shelter-  
108 in-place orders occurred early in the epidemic. We estimated that in the absence of controls,  $\mathcal{R}_0$  was 2.88  
109 (95% CI: 2.47 - 3.45) in Santa Clara County, and that under our estimated efficacy of current shelter-in-place  
110 orders,  $\mathcal{R}_E$  in Santa Clara as of April 22 is 0.98 (95% CI: 0.85 - 1.11) (Figure 1). We estimated  $\mathcal{R}_0$  and  $\mathcal{R}_E$   
111 over time by holding out recent data to understand how our ability to estimate  $\mathcal{R}_E$  evolved as the epidemic  
112 unfolded (Figure S2). From stochastic simulations with the fitted parameter sets, we further estimated  
113 the percent of Santa Clara County population that would have been in the recovered class on April 22  
114 (Figure S3).

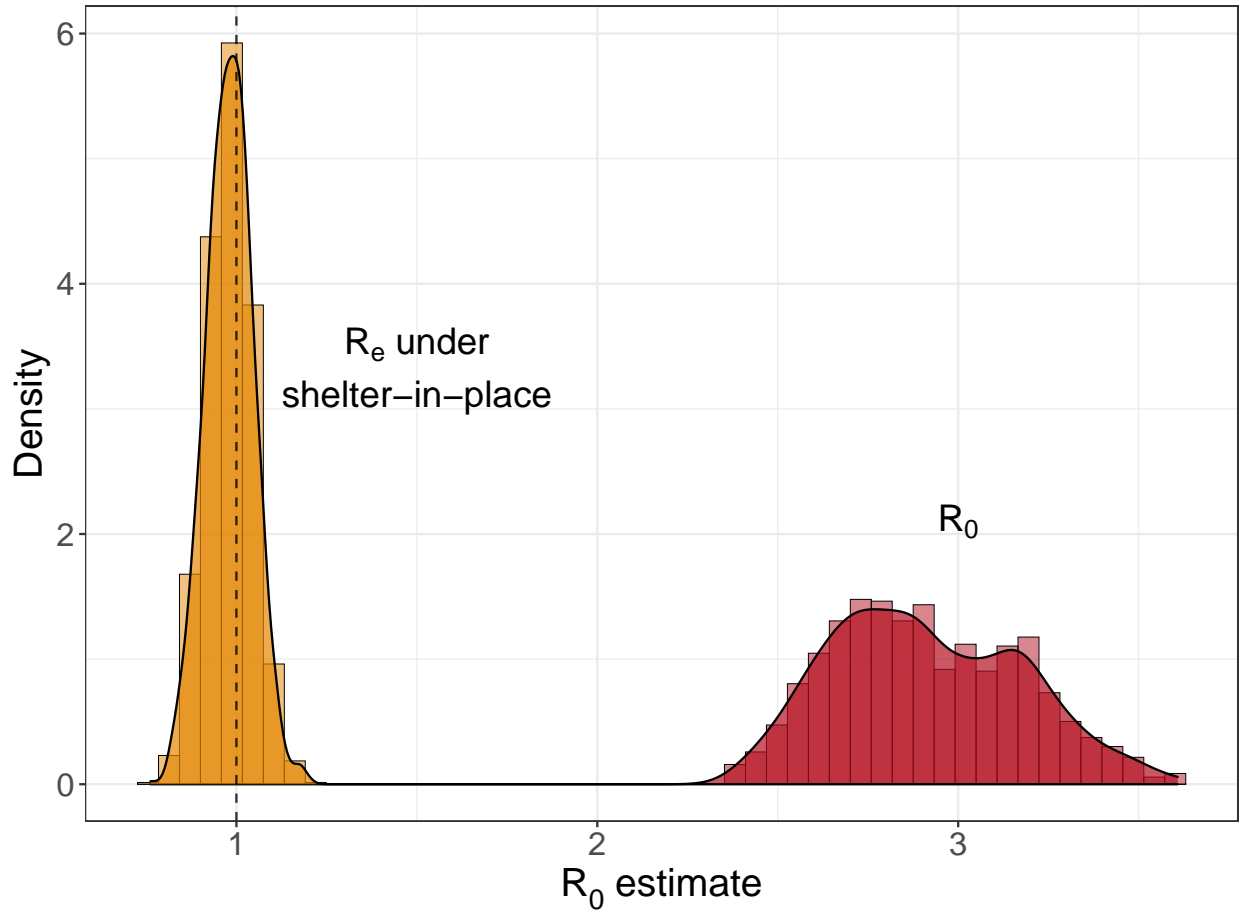


Figure 1: Distribution of  $\mathcal{R}_0$  (red) and  $\mathcal{R}_E$  (gold) estimates in Santa Clara County, California as of April 22, 2020.

115 If shelter in place is simply lifted on June 1, 2020, we estimate that a second peak is inevitable in the ab-  
 116 sence of any non-pharmaceutical interventions, as illustrated here for one parameter set (Figure 2). Across  
 117 all 200 parameter sets and stochastic epidemic simulations, we estimate a median of 5,478 deaths (95% CI:  
 118 1,767 - 11,632) and a peak number of concurrent infections of 171,667 (95% CI: 124,307 - 211,640) occurring  
 119 on August 12 (95% CI: July 23 - September 9).

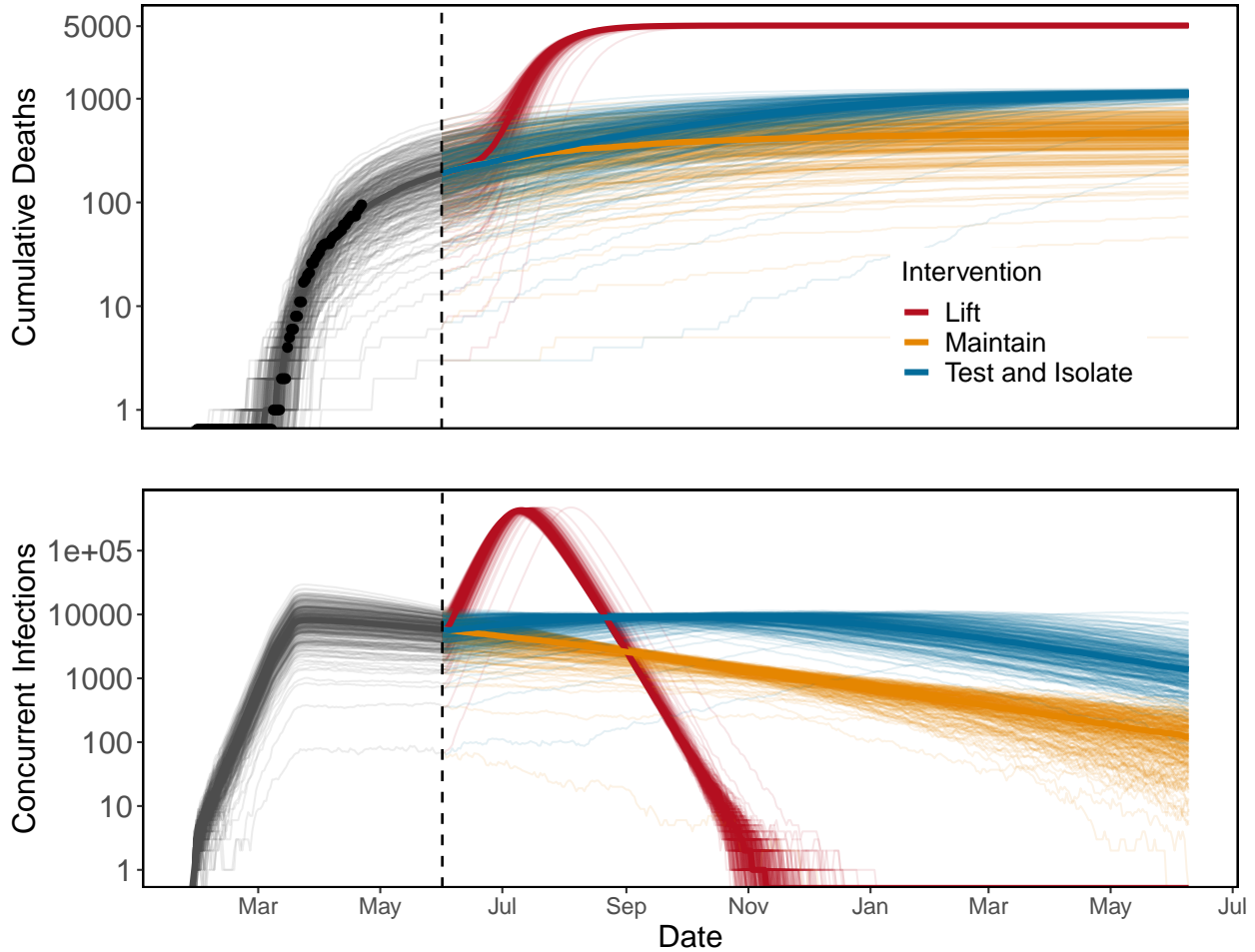


Figure 2: Maintaining shelter-in-place (gold) or test-and-isolate (blue) strategies over long periods are necessary to prevent a major epidemic resurgence (red) following the end of the initial shelter-in-place order on June 1 (dashed vertical line) in Santa Clara County. Lines show stochastic simulations of cumulative deaths (top, black points: observed data) and concurrent infections (bottom) for a single parameter set. Dates range from February 2020 (left) to July 2021 (right).

120 Maintaining shelter-in-place until June 1, followed by less stringent social distancing (50% of baseline  
 121 contacts), combined with strong symptomatic case isolation (removing an additional 80% and 70% of in-  
 122 vective contacts from severe and mild infections respectively), allows for higher background contact rates  
 123 (e.g., more businesses reopening). For the parameter set shown, this scenario leads to an increase in mor-  
 124 tality compared to maintaining shelter-in-place (Figure 2). Across a range of efficiencies of symptomatic  
 125 case isolation in Santa Clara County, we find an overlap in CIs for deaths at all parameter sets but higher  
 126 medians at the weakest levels of social distancing in the general population (Figure 3). For reference, the  
 127 median number of estimated deaths under maintained shelter-in-place is shown by the horizontal black  
 128 line, with 80% and 95% CI in dashed and dotted lines, respectively. These confidence intervals span a wide  
 129 range because our estimated  $\mathcal{R}_E$  values range from 0.85 - 1.18, which leads to some epidemics growing and



130 some declining through time.

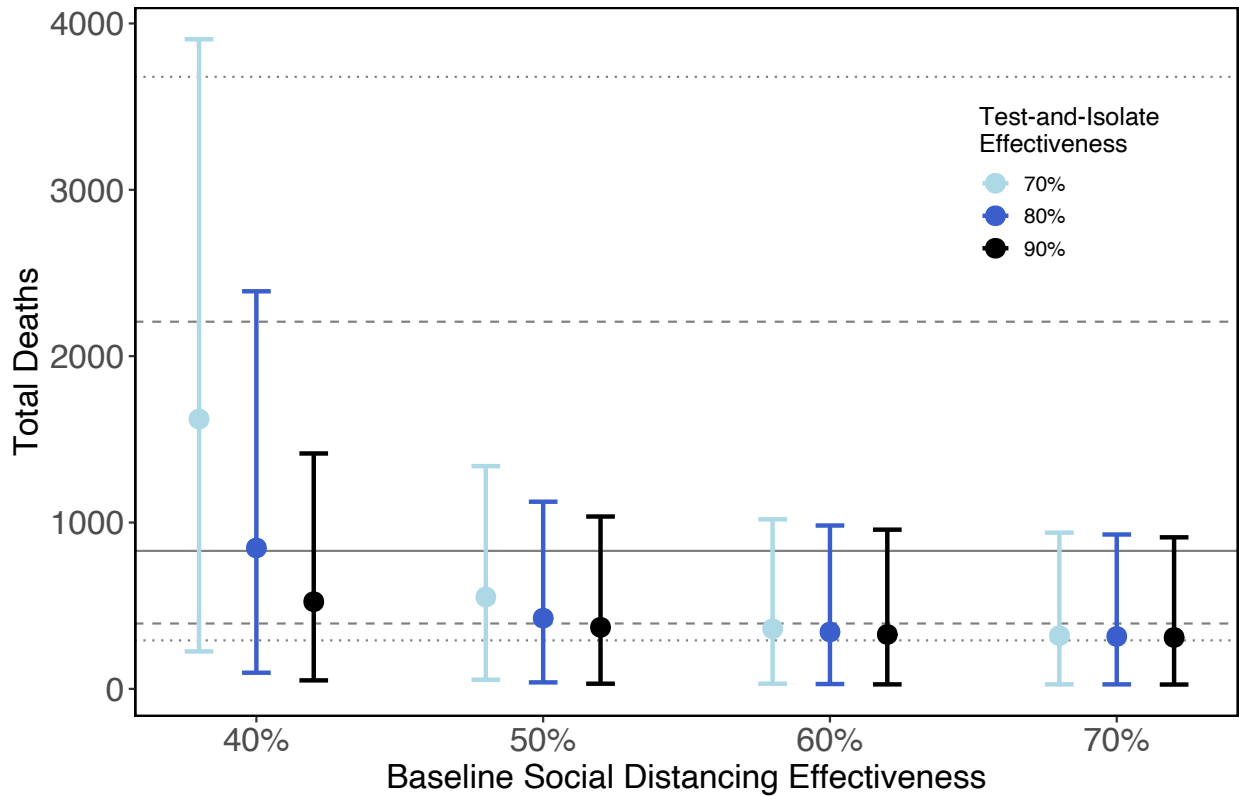


Figure 3: Similar total COVID-19 deaths are expected under various test-and-isolate strategies which include the effectiveness of symptomatic isolation (point colors), and social distancing effectiveness in the general population (x-axis). Due to both parameter uncertainty (here 100 randomly chosen from all 200 for computational reasons) and stochastic simulations, 95% CI are wide (point: median, error bars: 95% CI). Here, CI summarize cumulative deaths through June 2021. Epidemic toll begins to diverge when social distancing in the general public is weak (40% effectiveness) and symptomatic isolation is also weak (70% effectiveness). Lines represent the median (solid), 80% (dashed), and 95% (dotted) confidence intervals for maintaining current shelter-in-place orders indefinitely.

131 If widespread testing is not available before the end of shelter-in-place, a hypothetical alternative strat-  
 132 egy is adaptive triggering, in which social distancing orders are intensified and relaxed as hospitalizations  
 133 exceed and fall below critical thresholds. However, because the estimated  $\mathcal{R}_E$  for Santa Clara County is  
 134 approximately one (and CI spans one), a strategy that periodically reduces the strength of social distancing  
 135 may lead to an overall increase in cases that is not reversed when the current shelter-in-place is reinstated.  
 136 In the advent of more stringent shelter-in-place (e.g., reducing infectious contacts to 0.20 of baseline, which  
 137 is realistic in other settings), an adaptive triggering strategy that alternates between a social distancing  
 138 strength of 0.20 and 0.50 could be effective in keeping hospitalizations low (Figure S4). This method keeps  
 139 the epidemic within the capacity of the healthcare system, but results in prolonged cycles of epidemic resur-  
 140 gence and control that continue until herd immunity is reached through recovery of infected individuals or

141 vaccination.

## 142 Counterfactuals

143 Santa Clara County's early shelter-in-place order (enacted on March 17, 2020) helped to keep the death toll  
144 low; we estimate that waiting even one additional week would have led to an additional 95 deaths (95% CI:  
145 7 - 283) by April 22, 2020. (Figure 4, orange trajectories and histogram). Alternatively, the implementation  
146 of test-and-isolate starting on March 17, 2020 in addition to the shelter-in-place (assuming an additional  
147 proportional reduction in contacts for mildly symptomatic and severely symptomatic infections by 0.3 and  
148 0.2 respectively), would have helped to save an additional 24 lives (95% CI: 81 - [-1] (one extra death), green  
149 trajectories and histogram) (Figure 4).

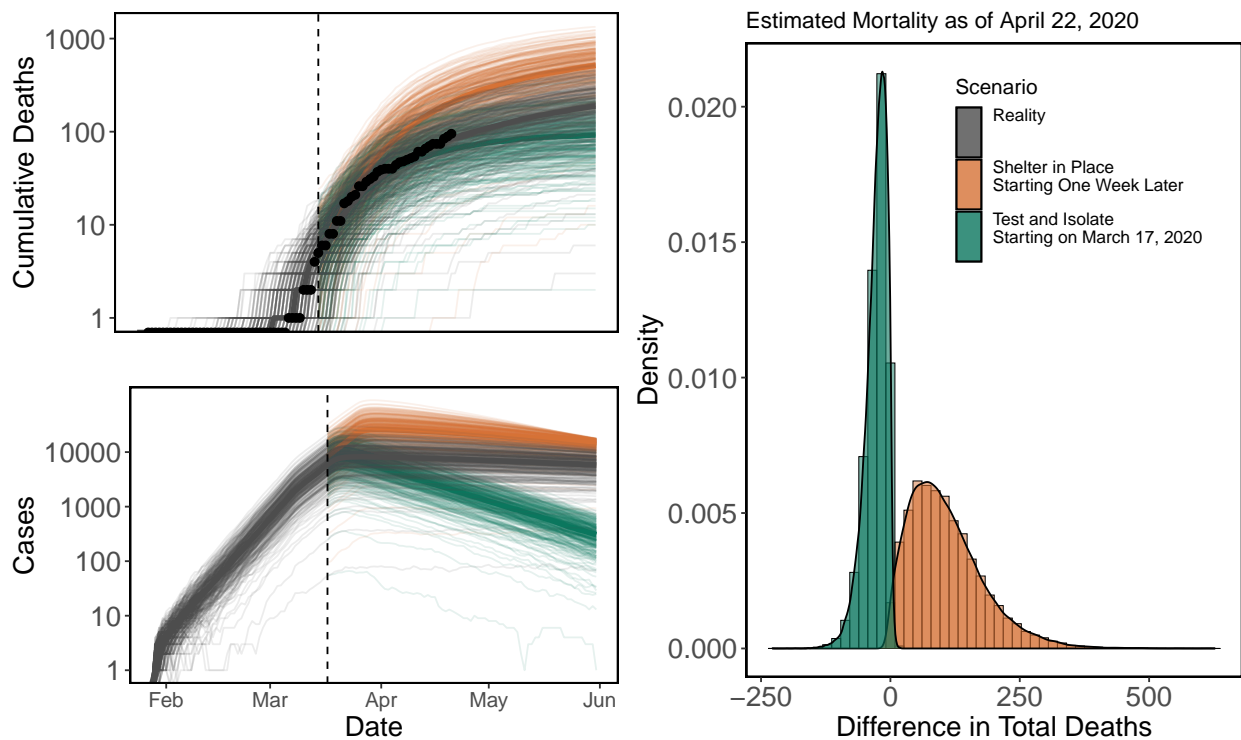


Figure 4: Early shelter-in-place in Santa Clara County saved lives, but early testing and isolation of symptomatic infections could have saved more. Cumulative deaths observed (top left panel; points) and daily cases (bottom left panel) under stochastic simulations using a single parameter set (lines; medians are in darker lines) under reality (gray), delaying shelter-in-place by one week (gold), or starting test-and-isolate on March 17, 2020 (green). We assume counterfactuals diverge on March 17, 2020 (vertical dashed line), the beginning of Santa Clara County shelter-in-place. Histograms (right) show the differences in the number of deaths for each stochastic model realization under the counterfactual scenarios compared to reality for all parameter sets.

## 150 Discussion

151 Long-term interventions will be necessary to control the COVID-19 pandemic until more effective therapeutic  
152 drugs and vaccines are widely available: possibly 12-18 months from now, but potentially by the winter  
153 of 2020-2021. We found that social distancing orders such as work-from-home and shelter-in-place are effective  
154 at flattening the curve. However, lifting such measures even after periods of three to ten months or  
155 longer—depending on the strength of the intervention and the local transmission setting—risks allowing a  
156 major resurgence in the epidemic, undoing hard-won gains from social distancing measures. As an alternative  
157 to blanket shelter-in-place orders over long periods of time, we explored the efficacy of test-and-isolate  
158 and adaptive triggering methods for epidemic control. We found that test-and-isolate measures paired with  
159 lighter social distancing, especially when combined with early shelter-in-place orders, can be effective at  
160 keeping the epidemic under control while presumably alleviating some of the social and economic costs  
161 of shelter-in-place. Given the social and economic challenges of maintaining shelter-in-place for months  
162 at a time, test-and-isolate interventions are a potential alternative until better therapeutics become widely  
163 available. Improved testing coverage would also have the added benefit of: 1) improving implementation  
164 of contact tracing to identify and quarantine contacts before they potentially become asymptomatic  
165 and presymptomatic spreaders, and 2) helping to fit models and other public health surveillance tools to  
166 COVID-19 cases, rather than deaths.

167 Recent evidence suggests that a large proportion of infected people may be asymptomatic or presymp-  
168 tomatic<sup>25,26</sup>, and that a larger proportion of the population than previously understood may have already  
169 been infected (Stanford seroprevalence study:<sup>27</sup>; Harvard seroprevalence study:<sup>28</sup>). Our model currently  
170 estimates that 1.18% (95% CI: 0.01% - 4.65%) of the Santa Clara County population has already recovered  
171 from infection, as of April 22, 2020. A better general understanding of the total magnitude of the epidemic  
172 size, based on improved diagnostic and serological testing, will help to tailor estimates of epidemic trajec-  
173 tories under different intervention scenarios, and to improve estimates of epidemiological parameters like  
174  $\mathcal{R}_0$ .

175 The model we present is deliberately simplified in several respects so that we are able to use it in differ-  
176 ent settings, explore a range of intervention scenarios, and to fit using death data. For example, the model  
177 ignores heterogeneity in susceptibility, contact rates, and disease outcomes arising from population demo-  
178 graphic structure, co-morbidities, mobility, and other factors. Additionally, we did not take into account  
179 hospital capacity, meaning that lifting interventions could potentially lead to more deaths than predicted  
180 here if capacity is overwhelmed and mortality rates increase. However, with this simple model and ac-  
181 companying open-access code<sup>24</sup> and interactive tool ([covid-measures.stanford.edu](https://covid-measures.stanford.edu)) as a baseline

182 for exploring qualitative long-term intervention scenarios, we expect that researchers and public health ex-  
183 perts could adapt the model based on further data availability or locally-specific goals, either by adding  
184 state variables or adjusting intervention scenarios and parameter values.

185 Despite its simplicity, the model captures the early dynamics of COVID-19 in Santa Clara County well  
186 (Figure 2), and provides estimates of  $\mathcal{R}_0$  that broadly match other estimates in the literature<sup>29</sup>. The model  
187 clearly shows that early action in California, including work-from-home and shelter-in-place orders, saved  
188 lives. The qualitative effect of early social distancing on epidemic dynamics is robust to a wide range  
189 of parameter uncertainty; we estimated that waiting even one additional week to implement shelter-in-  
190 place would have led to an additional 7–283 deaths in the county by April 22, 2020. With the benefit of  
191 early action, some increase in social contact in the general public may be possible by June 1, given that  
192 the capacity for testing and isolation of symptomatic people continues to increase. Though we find that  
193 adaptive triggering is unlikely to work based on current conditions in Santa Clara County, it may be a  
194 viable option in locations such as Italy or India where legally-enforced lockdown has led to more stringent  
195 reductions in social contacts<sup>30</sup>. Expansion of diagnostic testing capacity is a top priority for long-term  
196 COVID-19 mitigation efforts because of its multifaceted benefits for concentrating social distancing efforts  
197 on those most at risk of transmitting COVID-19, for determining the true size and trajectory of epidemic  
198 dynamics, and for providing more certainty to individuals experiencing COVID-19 symptoms.

199 During an unfolding pandemic, modeling is an essential tool for tactical decision-making, strategic  
200 planning, and communication of qualitative scenarios to the public. The number of COVID-19 models has  
201 grown apace with the pandemic itself, and many of these models have overlapping goals and approaches;  
202 organizations such as the MIDAS Network (Models of Infectious Disease Agent Study) provide an im-  
203 portant service in coordinating data-gathering and modeling efforts and in providing publicly available  
204 resources for the modeling community<sup>29</sup>. The rapid adoption of open-data policies from across the spec-  
205 trum of academic, government, business, and media organizations has been a major boon to research and  
206 pandemic control efforts. At the same time, keeping up with the growing COVID-19 modeling literature is  
207 nearly impossible, and the differences among models remain confusing to the public amidst a fragmented  
208 pandemic response across US states, counties, and the federal government. While statistical curve-fitting  
209 models may be valuable for modeling short-term trajectories in cases, hospitalizations, deaths, and health-  
210 care capacities<sup>2,3</sup>, epidemiological models that capture the underlying transmission dynamics are critical for  
211 evaluating the impact of major changes in policy over the long term. Epidemiological compartment mod-  
212 els vary widely in how they subdivide populations and in the assumptions that govern movement among  
213 compartments. Individual-based, network, and meta-population models expand on compartmental mod-  
214 els by capturing elements of individual and population heterogeneity that influence epidemic dynamics,

215 but require much more extensive data for parameterization e.g., see<sup>1</sup>. Along the modeling continuum from  
216 statistical curve-fitting to compartmental models to individual-based, network, and meta-population mod-  
217 els, compartmental models such as the one presented here are most useful for exploring long-term impacts  
218 of intervention scenarios across different settings where highly detailed data are not available.

219 We aimed to provide an open-source modeling tool that is detailed enough to capture key elements  
220 of transmission, including asymptomatic and presymptomatic transmission and a time-varying transmis-  
221 sion coefficient, while remaining simple enough to be parameterized using widely available information  
222 and data. As demonstrated here, this relatively simple model captures key epidemiological dynamics and  
223 parameters in Santa Clara County, California, and suggests important qualitative differences among in-  
224 tervention scenarios. In settings where more detailed modeling tools and data are not readily available,  
225 this modeling approach can provide some guidance about qualitative impacts of different scenarios, and  
226 can be easily tailored to fit local epidemic dynamics. Most importantly, this model suggests that early in-  
227 terventions have already saved lives, and that exit strategies from shelter-in-place orders should be made  
228 thoughtfully and based on rigorous epidemiological models.

## 229 **Data and Code Availability**

230 Data used in this study are available at <https://github.com/nytimes/covid-19-data>. Code used  
231 to produce the results in this study are available at  
232 [https://github.com/morgankain/COVID\\_interventions](https://github.com/morgankain/COVID_interventions).

## 233 **Declaration of Interests**

234 We declare no competing interests.

## 235 **Acknowledgements**

236 Funding provided by: the National Science Foundation (DEB-1518681); the National Institute of General  
237 Medical Sciences (1R35GM133439-01); the Natural Capital Project; the Helman Scholarship; the Terman  
238 Award. Marissa Childs was supported by the Illich-Sadowsky Fellowship through the Stanford Interdisci-  
239 plinary Graduate Fellowship program at Stanford University. Morgan Kain was supported by the Natural  
240 Capital Project. Nicole Nova was supported by the Stanford Data Science Scholarship. Jacob Ritchie was  
241 supported by the Terry Winograd Fellowship. Mallory Harris was supported by the Knight-Hennessy  
242 Scholarship.

## 243 **Author Contributions**

244 MC helped to design and code the model, conduct model simulations, troubleshoot problems and update  
245 the model, write and revise the manuscript.  
246 MPK helped to design and code the model, conduct model simulations, troubleshoot problems and update  
247 the model, write and revise the manuscript.  
248 DK helped to design the model, search the literature for parameter values and databases for data, trou-  
249 bleshoot problems and update the model, write and revise the manuscript.  
250 MH helped to design the model, search the literature for parameter values and databases for data, trou-  
251 bleshoot problems and update the model, write and revise the manuscript.  
252 LC helped to design the model, search the literature for parameter values and databases for data, and revise  
253 the manuscript.  
254 NN helped to design the model, search the literature for parameter values and databases for data, and re-  
255 vise the manuscript.  
256 ID helped to design the model, search the literature for parameter values and databases for data, and revise  
257 the manuscript.  
258 JR created the public-facing website associated with our work, and helped revise the manuscript.  
259 EAM helped to design the model, provide conceptual framing, and write and revise the manuscript.  
260

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# Supplemental Material for: The impact of long-term non-pharmaceutical interventions on COVID-19 epidemic dynamics and control

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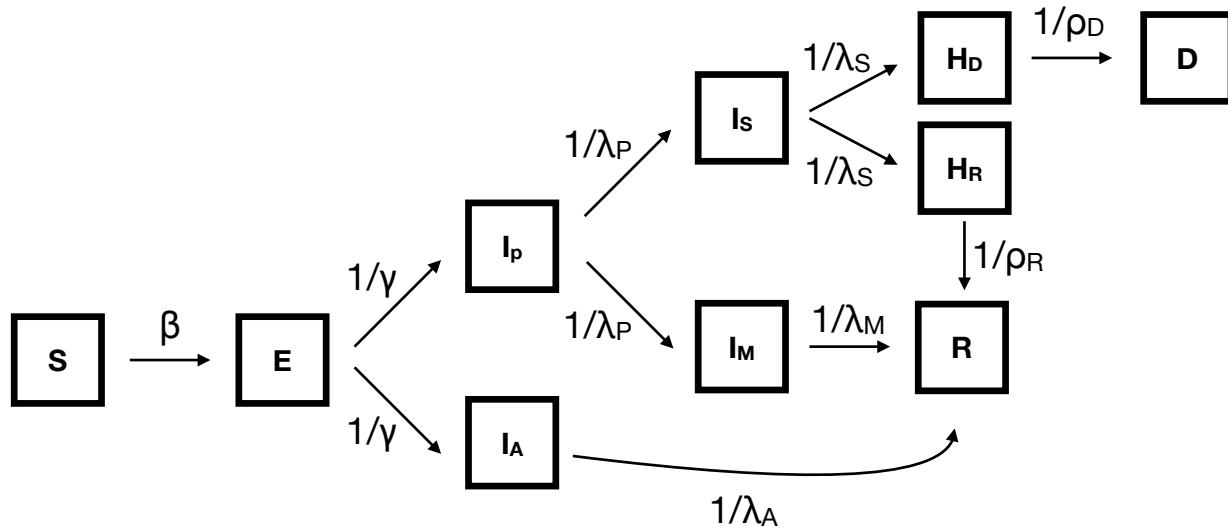
## Supplement: Equations and model implementation

We assume an underlying, unobserved process model of SARS-CoV-2 transmission described by Equation set S1 and shown in Figure S1. The compartments in the model are susceptible ( $S$ ); exposed but not infectious ( $E$ ); infectious and asymptomatic ( $I_A$ ), presymptomatic ( $I_P$ ), mildly symptomatic ( $I_M$ ), and severely symptomatic ( $I_S$ ); hospitalized cases that will recover ( $H_R$ ) or die ( $H_D$ ); and recovered ( $R$ ). We use an Euler approximation of the continuous time process with a time step of 4 hours. Transitions between compartments are simulated as binomial ( $\mathcal{B}$ ) or multinomial ( $\mathcal{M}$ ) processes; Equation set S2 describes in detail the stochastic rates used to approximate the transition terms in Equation set S1. Parameters are defined in Tables 1 and 2. Finally, we assume that the observed deaths are a Poisson random variable with mean of total new deaths accumulated over the observation period (i.e. one day for this analysis).

$$\begin{aligned}
 \frac{dS}{dt} &= -dSE \\
 \frac{dE}{dt} &= dSE - dEI_A - dEI_P \\
 \frac{dI_a}{dt} &= dEI_A - dI_AR \\
 \frac{dI_P}{dt} &= dEI_P - dI_P I_S - dI_P I_M \\
 \frac{dI_m}{dt} &= dI_P I_M - dI_M R \\
 \frac{dI_s}{dt} &= dI_P I_S - dI_S H_R - dI_S H_D \\
 \frac{dH_R}{dt} &= dI_S H_R - dH_R R \\
 \frac{dH_D}{dt} &= dI_S H_D - dH_D D \\
 \frac{dR}{dt} &= dH_R R \\
 \frac{dD}{dt} &= dH_D D
 \end{aligned} \tag{S1}$$

$$\begin{aligned}
dSE &\sim \mathcal{B}\left(S, 1 - \exp\left(-\beta_0 \frac{C_A I_A + C_P I_P + C_M I_M + C_S I_S}{N} dt\right)\right) \\
\begin{pmatrix} dEE \\ dEI_A \\ dEI_P \end{pmatrix} &\sim \mathcal{M}\left(E, \begin{pmatrix} \exp(-\gamma dt) \\ \alpha(1 - \exp(-\gamma dt)) \\ (1 - \alpha)(1 - \exp(-\gamma dt)) \end{pmatrix}\right) \\
dI_A R &\sim \mathcal{B}(I_A, 1 - \exp(-\lambda_A dt)) \\
\begin{pmatrix} dI_P I_P \\ dI_P I_M \\ dI_P I_S \end{pmatrix} &\sim \mathcal{M}\left(I_P, \begin{pmatrix} \exp(-\lambda_P dt) \\ \mu(1 - \exp(-\lambda_P dt)) \\ (1 - \mu)(1 - \exp(-\lambda_P dt)) \end{pmatrix}\right) \\
dI_M R &\sim \mathcal{B}(I_M, 1 - \exp(-\lambda_M dt)) \\
\begin{pmatrix} dI_S I_S \\ dI_S H_R \\ dI_S H_D \end{pmatrix} &= \mathcal{M}\left(I_S, \begin{pmatrix} \exp(-\lambda_S dt) \\ \delta(1 - \exp(-\lambda_S dt)) \\ (1 - \delta)(1 - \exp(-\lambda_D dt)) \end{pmatrix}\right) \\
dH_R R &\sim \mathcal{B}(H_R, 1 - \exp(-\rho_R dt)) \\
dH_D D &\sim \mathcal{B}(H_D, 1 - \exp(-\rho_D dt))
\end{aligned} \tag{S2}$$

Supplement: Figures



**State variables**

- S** = Susceptible
- E** = Exposed
- Ip** = Pre-symptomatic
- Ia** = Asymptomatic
- Is** = Symptomatic, severe case
- Im** = Symptomatic, mild case
- Hd** = Hospitalized, eventual death
- Hr** = Hospitalized, eventual recovery
- R** = Recovered
- D** = Dead

**Transition Rates**

- $\beta$  = Transmission rate
- $\gamma$  = Preinfectious period
- $\lambda_A$  = Asymptomatic infectious period
- $\lambda_P$  = Presymptomatic infectious period
- $\lambda_S$  = Severe infectious period until hospitalization
- $\lambda_M$  = Mild infectious period
- $\rho_D$  = Hospitalization period until death
- $\rho_R$  = Hospitalizations period until recovery

Figure S1: Epidemiological model box diagram

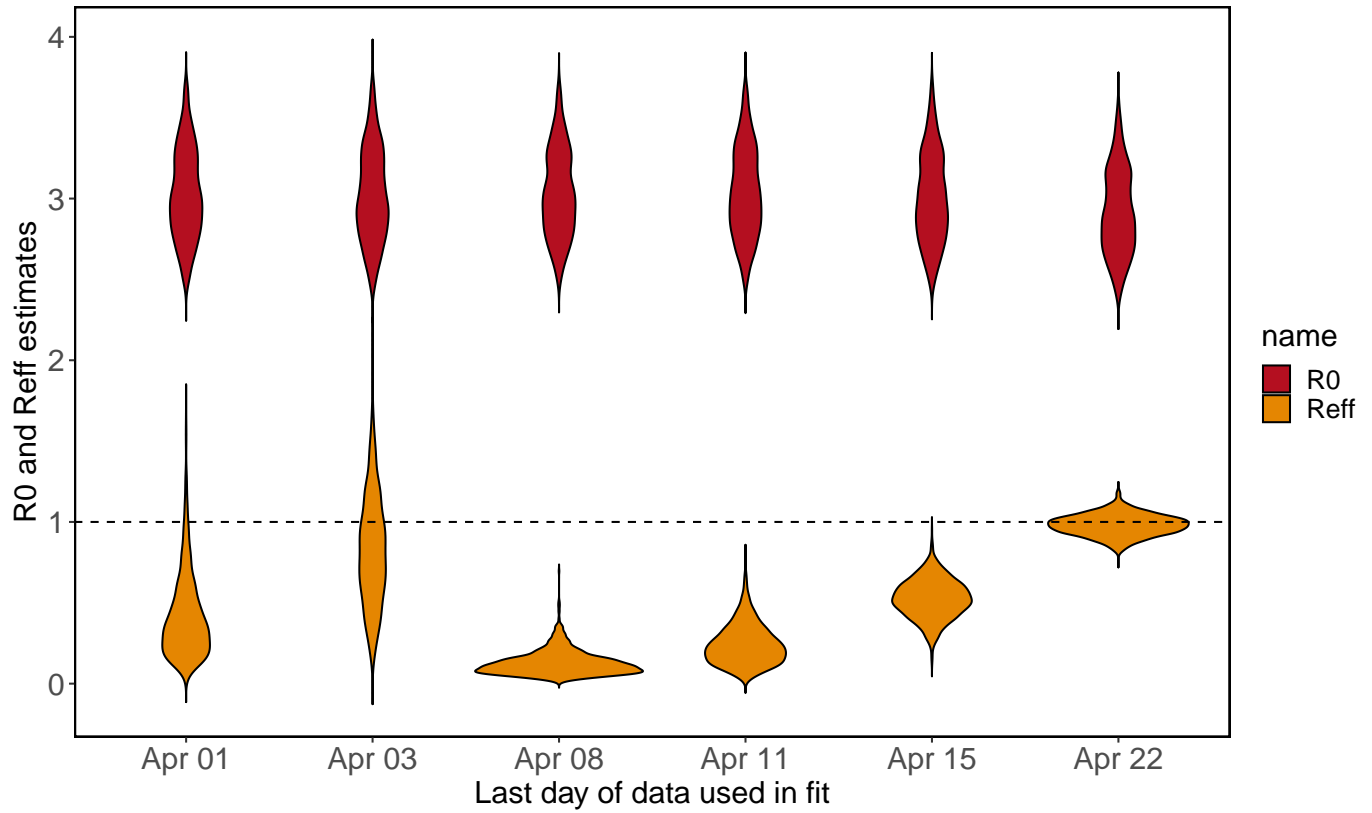


Figure S2: Estimated  $\mathcal{R}_0$  and  $\mathcal{R}_E$  from fits to truncated time series. A date corresponding to a pair of violin plots shows the most recent data for which data was used to fit the model. The low and confident  $\mathcal{R}_E$  estimate on April 8 was due in part to 5 consecutive days, ending on April 8, with a total of 3 deaths.

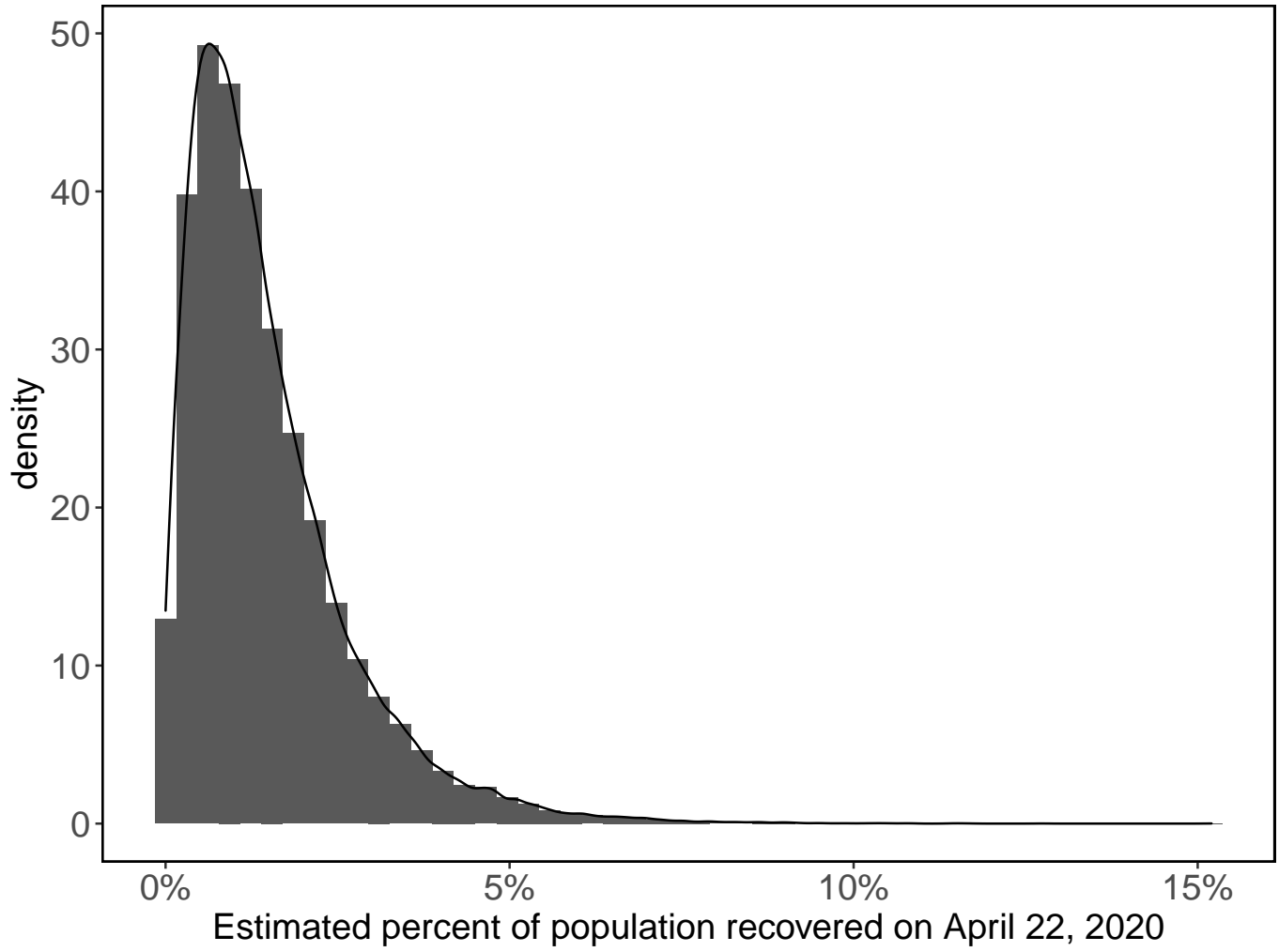


Figure S3: Distribution of estimated percent of Santa Clara County in the recovered class from 300 simulations of 200 parameter sets.

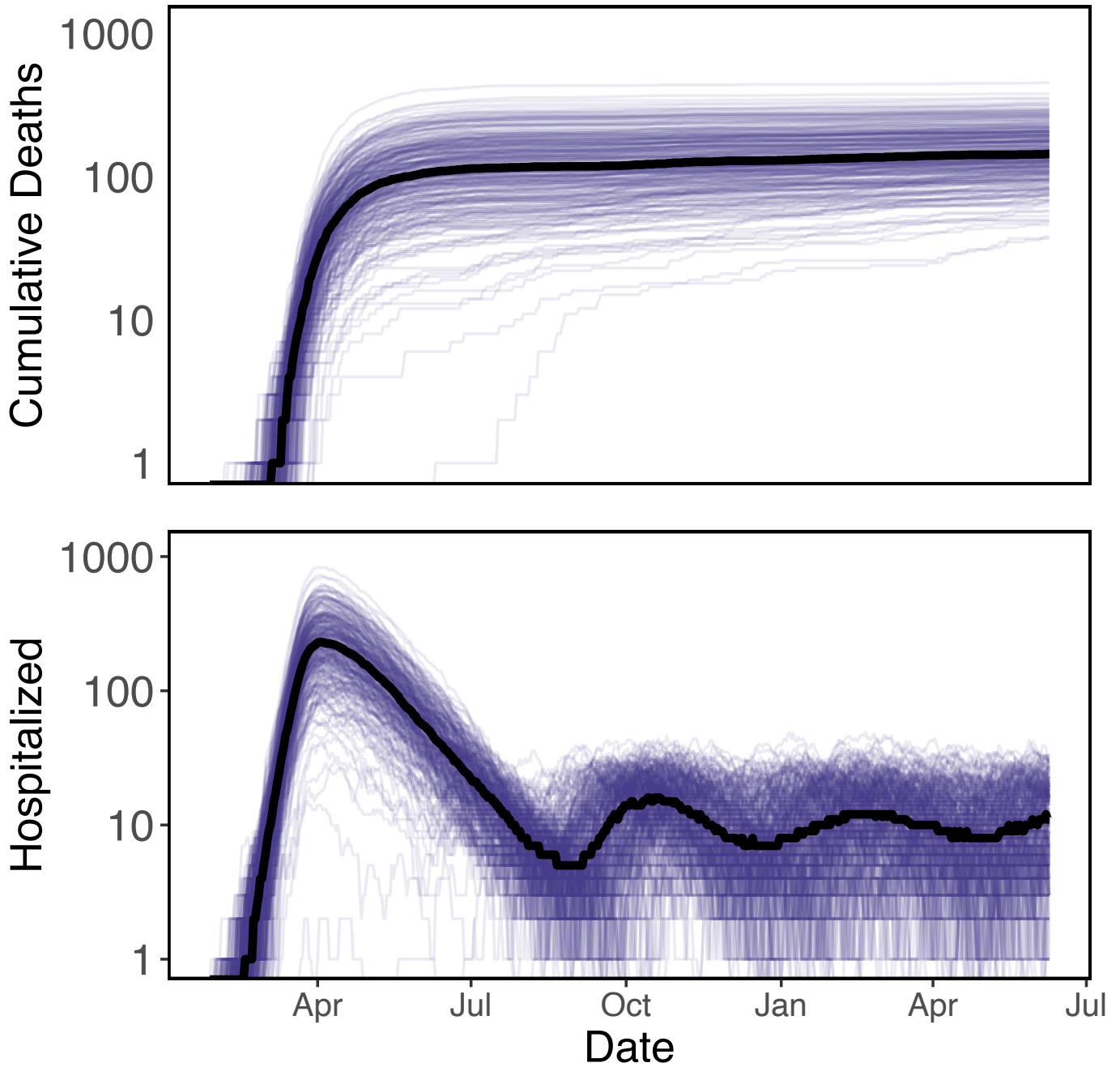


Figure S4: Adaptive triggering that alternates between a social distancing strength of 20% of background contacts and 50% of background contacts when the number of people hospitalized reaches 15 people or falls to 5 people, respectively. This strategy results in a moderately constant number hospitalized and leads to a slowly increasing cumulative death toll over time.